## JC02 Rec'd PCT/PTC 17 JUN 2005

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 10008.0100 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPL @37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED WO 2004/055933 **17 DECEMBER 2002** 1 JULY 2004 TITLE OF INVENTION Compact Solid Oxide Fuel Cell Stack APPLICANT(S) FOR DO/EO/US Sarkar, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 13. | X | A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 19. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491–1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC05 Rec'd PCT/PTO 17 JUN 2005

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U.S. APPLICATION NO. (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)					ATTORNEY'S DOCKET NUMBER 10008.0100		
The following fees have been submitted						CALCULATIONS	PTO USE ONLY
21. X Basic national fee					\$ 300.00		
22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100.00  All other situations. \$200.00  23. Search fee  Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO has an International Searching Authority. \$100.00  International Search Report prepared and provided to the Office \$400.00						\$0.00	
All other situations \$500.00						\$0.00	
TOTAL OF 21, 22 and 23 =						\$ 300.00	
listing or co	fee for specification a omputer program listi paper or fraction there	ng filed in an elect of.	in paper over 100 sheets (extronic medium). The fee is \$2	250 for	each additional 50		
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)			RATE		
41 -100 =	0 /50 =		0		x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$ 0.00	
CLAIMS	NUN	MBER FILED	NUMBER EXTRA		RATE	\$	
Total claims	s	37 - 20 =	17	Х	\$50.00	\$ 850.00	
Independent claims		6 - 3 =	3	X	\$200.00	\$ 600.00	
MULTIPLE DEPEN	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						
TOTAL OF ABOVE CALCULATIONS =						\$2,360.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						\$2,360.00	
SUBTOTAL =						\$2,360.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$ 0.00	
TOTAL NATIONAL FEE =						\$2,360.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$ 0.00	
TOTAL FEES ENCLOSED =						\$300	
						Amount to be refunded:	\$
						Amount to be charged:	\$
b. Plea fees.	se charge my Dep . A duplicate copy	osit Account No of this sheet is		<del></del>	in the amount of \$		
Depo	osit Account No. <u>5</u>	0-2993	ed to charge any addition	duplic	ate copy of this sl	heet is enclosed.	
info	rmation should n	ot be included	d. WARNING: Information on this form. Provide c	redit o	ard information a	nd authorization on F	PTO-2038.
NOTE: Where a	an appropriate tir ed to restore the l	ne limit under International A	37 CFR 1.495 has not b pplication to pending s	een n tatus.	net, a petition to	revive (37 CFR 1.13	7(a) or (b)) must be
SEND ALL COR	RRESPONDENCE	TO:		-	SIGNATURE:		- 18 - 19 - 19 - 18 - 18 - 18 - 18 - 18
					Daniel J. Noblitt NAME		********